

Privacy Preserving Association Rule Mining of Mixed Partitioned Model in Distributed Database Environment

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Abstract:---- A big challenge to researchers is, how efficiently, one can extract knowledge in an application from a large database using appropriate data mining techniques. A well known research data mining technique is association rule mining whose outcomes are being successfully used in various real life applications to take strategic decisions. But, in recent years, people wish to share their knowledge which is predicted from association rule mining to their collaborators to get mutual benefits. But the problem is, they do not want to give their sensitive data to the collaborators because disclosure of sensitive data may cause harm to themselves. Here, the main issue is, how to achieve the two goals that is sharing accurate non sensitive information while protecting sensitive information. To address this issue, researchers have found many efficient methods for finding privacy preserving association rule mining. To enhance the availability and reliability of the customer services, distributed database applications are well being used which can also find association rules at global level, called global association rules. In this paper, privacy preserving association rule mining at global level is addressed. A global database usually partitioned in different ways but basically in three common ways such as horizontal, vertical and mixed model is a combination of both. In mixed partitioned model, a global database can be partitioned first in horizontal then each horizontally partitioned database is further partitioned into vertical or vice versa or in any order with different levels. This paper presented two efficient methods for determining privacy preserving association rule mining for two common mixed models by adopting cryptographic techniques, Sign based secure sum concept and scalar product technique.

Keywords: Association Rules, Privacy Preserving Association Rule Mining, Distributed Database Applications, Global Association Rules.

I. INTRODUCTION

Association rule mining is one among many data mining techniques which predicts associations between items or item sets from large database. Association rule generation has two steps. Computation of frequent item sets from the database based on user specified minimum support threshold is the first step, where generation of association rules from frequent item sets based on user specified minimum confidence threshold is second step. Data mining has been viewed as a threat to privacy because of the pervasive proliferation of electronic data maintained by corporations. This has lead to increased concerns about the privacy of the underlying data. Data mining techniques find hidden information from large database while secret

data is preserved safely when data is allowed to access by single person. Now a day's many people want to access data or hidden information using data mining technique even they are not fully authorized to access. For getting mutual benefits, many organizations wish to share their data to many legitimate people but without revealing their secret data. To address this issue, privacy preserving data mining has been evolved.

The process of preserving privacy in case of association rule mining can be termed as privacy preserving association rule mining. Database may consist of enormous amount of transactions which are extracted from a single source of data or from many sources. Depending on the requirements of applications, database is maintained at single location called centralized database or the database may be distributed at multiple sites called distributed database. The main aim of privacy preserving association rule mining in centralized database is, mining process can be done by hiding sensitive data/information from users other than database owner. In distributed environment, aim is finding the global mining results by preserving the individual sites private data/information from one another. Global results are determined only when the necessary results/information is captured based on all sites' database individually like local frequent item sets and their support values of all sites are required to determine whether an item set is globally frequent or infrequent. As the individual database may possess some private data/information and in case of leakage of private data to anyone causes damage to database owners.

In distributed applications, databases are partitioned basically in two ways such as horizontal and vertical partitioned databases, where each partitioned database is placed in one site or many sites. The site which owns the database has local autonomy over its database and no site can have access to any data/information belongs to any other site. Depending on the hierarchy of the distributed application, any site's partitioned database can be further partitioned into two or more and each partitioning may follow horizontal or vertical and this process of partitioning is called mixed/hybrid. In some distributed applications databases are partitioned into disjoint segments so every database is placed in a single location/site only. In this paper, privacy preserving association rule mining for two commonly used mixed partitioning (disjoint) methods in distributed database environment is considered.

II . PRIVACY PRESERVING ASSOCIATION RULE MINING FOR MIXED PARTITIONED MODEL

Privacy-preserving data mining in a distributed environment is a multidisciplinary field and requires close cooperation between researchers and practitioners from the fields of cryptography, data mining, public policy and law. Now, the question is how to compute the results without pooling the data in a way that reveals nothing but the final results of the data mining computation. This question of privacy-preserving data mining is actually a special case of a long-studied problem in cryptography called secure multiparty computation. This problem deals with a setting where a set of parties with private inputs wishes to jointly compute some function of their inputs. This joint computation should have the property that the parties learn the correct output and nothing else, even if some of the parties maliciously collude to obtain more information. Clearly, a protocol is needed to solve privacy-preserving data mining problems. Earlier work in privacy preserving association rule mining is as follows.

In 1996, Clifton et al. [3] discussed and presented ideas related to the issue of protecting privacy of individuals in the database. The state of the art in the area of privacy preserving data mining techniques is discussed by the authors in [4] [5]. This paper also describes the different dimensions of preserving data mining techniques such as data distribution, data modification technique, data mining algorithms, data or rule hiding and approaches for privacy preserving data mining techniques. In [6], the authors proposed a framework for evaluating privacy preserving data mining algorithms and based on their frame work one can assess the different features of privacy preserving algorithms according to different evaluation criteria.

Evfimievski et al. presented a new framework for preserving privacy association rule mining [7]. In order to find privacy preserving association rule mining in centralized database, a new algorithm is presented in [8] which balances privacy preserving and knowledge discovery in association rule mining. Gkoulalas Divanis, et al. addressed many issues related to privacy preserving data mining, association rule hiding, classes of association rule hiding methodologies and also rule hiding in classification technique, privacy preserving clustering & sequence hiding [9].

The problem of knowing who is richer without disclosing their wealth is addressed in two milliner’s problem and which belongs to secure multi party computation. The authors proposed protocols for two milliner’s problem and also proposed for multi party case [10].Clifton proposed a toolkit consisting of Secure sum, Secure set union, Secure size of set intersection and Scalar product are the protocols that can be combined for specific privacy preserving data mining applications [11]. The algorithms for privacy preserving association rules mining over horizontally, vertically and mixed partitioned database are presented in this thesis work [12].Secure mining of association rules over horizontally partitioned database using cryptographic technique to minimize the information shared by adding overhead to the mining process is

presented in [13].In [14], authors addressed the problem of association rule mining in vertically partitioned database by using cryptography based approach. In [15], several private scalar product protocols for two party scalar product protocols is proposed with a un trusted third party using algebraic computations.

The authors in [16] proposed architecture for privacy preservation in classification technique for mixed partitioned distributed database model which is a combination of vertical and horizontal for Breast cancer dataset. In [17], algorithm is presented for finding privacy preserving association rule mining in mixed partitioned database model.

In most of the real life applications, mixed partitioning models are used and partitioning follows the organization structure.

Consider the two mixed models which are commonly used and its partitioning are shown in the Figure 1 and Figure 2. The first type partitioning method is one in which all or some horizontally partitioned databases are further partitioned into two or more vertically partitioned databases and second type is one in which all or some vertically partitioned database are further partitioned into two or more horizontally partitioned databases. Mixed Model-1 is shown in following figure.

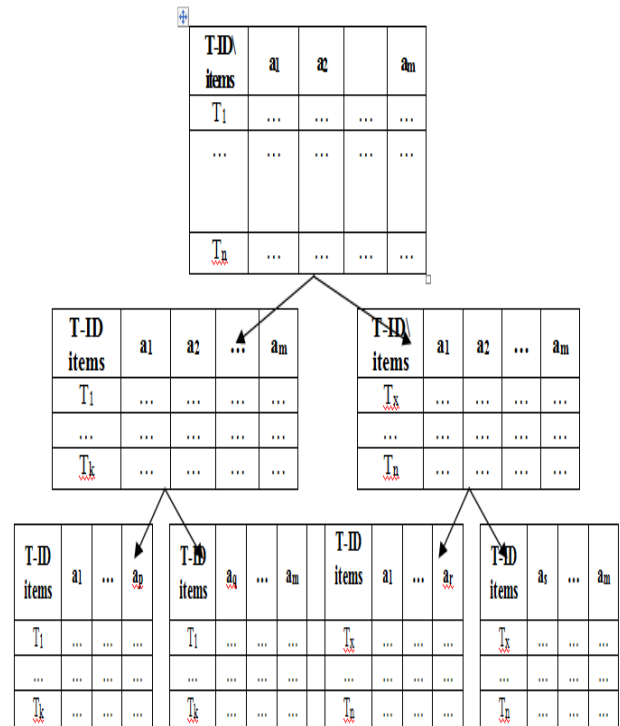


Fig.1. Two Horizontally Partitioned Databases are Further Partitioned into Two Vertical (Model-1)

Initially the database is partitioned into two horizontal partitioned databases. As partitioning is based on horizontal, all two partitioned sites possess same set of attributes but possessing different set of disjoint transactions. Each horizontally partitioned database is further partitioned into two vertical databases. The other Mixed Model-II is as shown below.

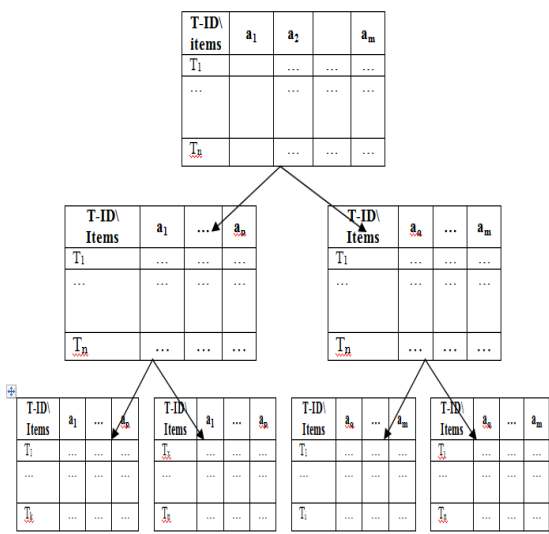


Fig. 2. Two Vertically Partitioned Databases are Further Partitioned into Two Horizontal (Model - 2)

In the above diagram, the database is partitioned into two vertical partitioned databases. As partitioning is based on vertical, all two partitioned sites possess disjoint subset set of attributes but possessing same set of transactions. In addition to the above common types of mixed partitioned databases, many other models exist like combinations of horizontal, horizontal and then vertical or vice versa. In this paper, the above two types of mixed model partitioning strategies are considered to find the global association rules.

Two different methodologies are proposed in this paper to deal with the two mixed partitioning methods and are considered as two different cases Case I and Case II. These methods incorporates algorithms presented in [18] to find privacy preserving association rule mining for n number of horizontally partitioned databases with Trusted Party (TP) using sign based secure sum technique and can be referred in this paper as Horiz-TP-Algorithm. Similarly the algorithm [19] is adopted for n vertically partitioned databases with Data Miner (DM) by using scalar dot product technique and can be referred as Vert-DM-Algorithm. Methodology for each case is discussed in the next section.

III. PROPOSED METHODS

Case I: The mixed Model-1 specified in Fig. 1. is considered as Case I.

In this case, Trusted Party (TP) situated at Level₀ and the database is horizontally partitioned into two or more disjoint fragments situated at Level₁ and then each horizontally partitioned database is further partitioned into two or more vertically partitioning databases which will be at Level₂ in the hierarchy.

Case II: The mixed Model-2 specified in Fig. 2. is considered as Case II.

In this model, data miner(DM) exist at Level₀ and the database is vertically partitioned into two or more disjoint fragments situated at Level₁ and then each vertically

partitioned database is further partitioned into two or more horizontal partitioning databases which will be at Level₂ in the hierarchy.

The algorithm for Case I is specified in the next subsection.

A. Case I

Database is partitioned into two or more horizontal, {DBH₁, DBH₂, ..., DBH_k} and then each horizontally partitioned database, DBH_i is vertically partitioned into two or more {DBHiV₁, DBHiV₂, DBHiV₃,..., DBHiV_L} or zero. The major tasks in the proposed method are as follows:

Algorithm

Input: Hierarchy of Partitioned databases, Databases of all sites, MinSupport, MinConfidence

Output: Global association rules

Step 1 For each site Site_i possessing DBH_i, i = 1 to k
If (DBH_i is vertically partitioned into DBHiV₁,..., DBHiV_L and L ≥ 2)

{ Apply Vert-DM-Algorithm for vertically partitioned databases of L number of sites with a DM (at Site_i)

Now Site_i consisting of Global Frequent Item sets (GFI) along with supports for its vertically partitioned databases }

Else

Site_i finds frequent item sets for its database DBH_i

Step 2 Apply Hort-TP-Algorithm for k- horizontally partitioned databases DBH_i, i = 1 to k with TP (at Site₀)

Step 3 The TP declares globally frequent item sets based on support values

Step 4 The TP broadcast the GFI & supports to the sites possessing DBH_i, i = 1 to k

Step 5 Every site Site_i, i = 1 to k

Generates global association rules based on user specified MinConfidence

Broadcast global association rules to its partitioned sites //holding {DBHiV₁, ..., DBHiV_L}

Step 6 Stop the process.

The algorithm for Case II is specified as follows:

B. Case II

Database is partitioned into two or more vertical {DBV₁, ..., DBV_p} where p ≥ 2 and then each vertically partitioned database DBV_j (j ranges from 1 to p) is further partitioned into horizontal partitioned databases {DBV_jH₁, ..., DBV_jH_q} or zero. The major tasks in the proposed method are as follows:

Algorithm

Input: Hierarchy of Partitioned databases, Databases of all sites, MinSupport, MinConfidence

Output: Global association rules

- Step 1** For each site Site_j possessing DBV_j, j=1 to p
 If (DBV_j is horizontally partitioned into {DBV_jH₁,..., DBV_jH_q} and q ≥ 2}
 {Apply Hort-TP-Algorithm for q-horizontally partitioned databases with a TP (at Site_j)
 Now Site_j consisting of GFI along with supports for its horizontally partitioned databases }
 Else
 Site_j finds frequent item sets for its database DBV_j
- Step 2** Apply Vert-DM-Algorithm for p-vertically partitioned databases, p ≥ 2 with DM (at Site₀).
- Step 3** DM finds GFI & supports based on user specified MinSupport.
- Step 4** DM generates global association rules using GFI and support values based on user specified MinConfidence threshold value.
- Step 5** Every site Site_j, j= 1 to p
 Broadcast global association rules to its partitioned sites // holding {DBV_jH₁, ..., DBV_jH_q}
- Step 6** Stop the process.
 The implementation of two mixed models which are considered in Case I and Case II are illustrated with sample database in the next section.

IV. IMPLEMENTATION OF THE MODEL-I WITH SAMPLE DATA

Consider the global/whole database which is initially partitioned horizontally into two databases DB₁ and DB₂ and are further partitioned into DB₃&DB₄, DB₅&DB₆ respectively. The hierarchy of this model can be treated as a 3 level hierarchy model that is at level zero (Level₀) TP exist and at level one (Level₁) two horizontally partitioned sites exist and at level two (Level₂), two vertical partitioned databases exist. The TP will be situated at Site₀, horizontally partitioned database are DB₁ and DB₂ situated at Site₁ and Site₂ and at Level₂ vertically partitioned database DB₃, DB₄, DB₅, DB₆, situated at Site₃, Site₄, Site₅ and Site₆ respectively. The sites and their databases the considered model as shown in the following diagram.

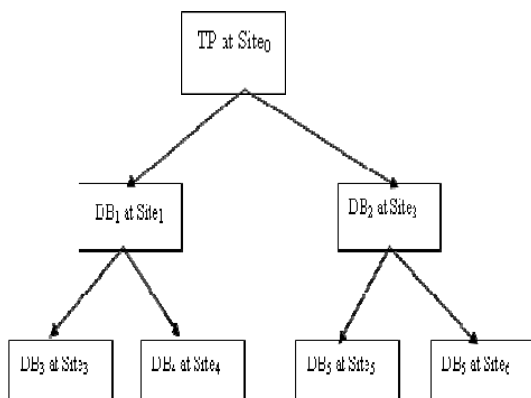


Fig. 3. Mixed Model Consisting of Databases and its Sites in Different Levels

The sample database, DB consists of 10 transactions (T=₁, T₂, ..., T_n) and five attributes (A₁, A₂, ..., A₅) is considered for implementation purpose. A special site exist called Site₀ where TP (TP) resides who has special privileges to find global frequent items from its partitioned sites without violating individual sites' privacy constraints. The sample database is shown below:

TABLE I. Sample Database (DB)

| TID\ItemSet | A ₁ | A ₂ | A ₃ | A ₄ | A ₅ | A ₆ |
|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| T ₁ | 1 | 1 | 1 | 0 | 1 | 0 |
| T ₂ | 0 | 0 | 0 | 1 | 1 | 1 |
| T ₃ | 1 | 0 | 1 | 0 | 0 | 1 |
| T ₄ | 0 | 1 | 0 | 1 | 0 | 1 |
| T ₅ | 1 | 1 | 1 | 1 | 0 | 1 |
| T ₆ | 0 | 1 | 1 | 0 | 1 | 0 |
| T ₇ | 0 | 1 | 0 | 1 | 0 | 1 |
| T ₈ | 1 | 0 | 1 | 1 | 0 | 1 |
| T ₉ | 1 | 1 | 1 | 0 | 1 | 0 |
| T ₁₀ | 0 | 1 | 0 | 1 | 1 | 0 |

Initially, the database DB is partitioned horizontally into two disjoint databases DB₁ and DB₂. DB₁ is at Site₁ and DB₂ is at Site₂. The following two TABLES II & III shows horizontally partitioned databases DB₁ and DB₂ each consisting of 5 transactions (T₁, T₂, T₃, T₄, T₅) for same set of attributes (A₁, A₂, ..., A₅).

TABLE II. Database (DB₁) at Site₁

| TID\Item | A ₁ | A ₂ | A ₃ | A ₄ | A ₅ | A ₆ |
|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| T ₁ | 1 | 1 | 1 | 0 | 1 | 0 |
| T ₂ | 0 | 0 | 0 | 1 | 1 | 1 |
| T ₃ | 1 | 0 | 1 | 0 | 0 | 1 |
| T ₄ | 0 | 1 | 0 | 1 | 0 | 1 |
| T ₅ | 1 | 1 | 1 | 1 | 0 | 1 |

TABLE III. Database (DB₂) at Site₂

| TID\Item | A ₁ | A ₂ | A ₃ | A ₄ | A ₅ | A ₆ |
|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| T ₆ | 0 | 1 | 1 | 0 | 1 | 0 |
| T ₇ | 0 | 1 | 0 | 1 | 0 | 1 |
| T ₈ | 1 | 0 | 1 | 1 | 0 | 1 |
| T ₉ | 1 | 1 | 1 | 0 | 1 | 0 |
| T ₁₀ | 0 | 1 | 0 | 1 | 1 | 0 |

The Database DB₁ at Site₁ is further partitioned into two disjoint vertically partitioned databases DB₃ and DB₄ and which are in Site₃ and Site₄ respectively. The database DB₃ has 5 transactions and 4 attributes such as {A₁, A₂, A₃, A₄}. The database DB₄ has 5 transactions and

2 attributes such as {A₅, A₆} and these databases are shown in the below tables:

TABLE IV
Database (DB₃) at Site₃

| TID\Item | A ₁ | A ₂ | A ₃ | A ₄ |
|----------------|----------------|----------------|----------------|----------------|
| T ₁ | 1 | 1 | 1 | 0 |
| T ₂ | 0 | 0 | 0 | 1 |
| T ₃ | 1 | 0 | 1 | 0 |
| T ₄ | 0 | 1 | 0 | 1 |
| T ₅ | 1 | 1 | 1 | 1 |

TABLE V
Database (DB₄) at Site₄

| TID\Item | A ₅ | A ₆ |
|----------------|----------------|----------------|
| T ₁ | 1 | 0 |
| T ₂ | 1 | 1 |
| T ₃ | 0 | 1 |
| T ₄ | 0 | 1 |
| T ₅ | 0 | 1 |

Apply Verti-TP-Algorithm for finding privacy preserving association rule mining for vertically partitioned databases is applied. Site₃ and Site₄ finds frequent item sets for their databases DB₃ and DB₄ based on user specified minimum support threshold value by using apriori algorithm. The determined frequent item sets at two sites are shown below:

At Site₃, for Database DB₃:

The set of frequent item sets and its support is {A₁→3, A₂→3, A₃→3, A₄→3, <A₁,A₂>→2, <A₁,A₃>→3, A₂,A₃>→2,<A₂,A₄>→2,<A₁.A₂.A₃>→2}

At Site₄, for Database DB₄:

The set of frequent item sets and its support is {A₅→2, A₆→4}

Site₃ prepares a matrix M₃ consisting of supporting transactions for frequent item sets and vector V₃ possessing frequent item sets. Similarly Site₄ prepares a matrix M₄ for representing supporting transactions for frequent item sets and also constructs vector V₄ to store frequent item sets. These matrices and vectors are shown below:

$$M_3 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \end{bmatrix} \quad M_4 = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

V₃ = {A₁,A₂,A₃,A₄,<A₁.A₂>, <A₁,A₃>, <A₂,A₃>, <A₂,A₄>, <A₁,A₂,A₃>} and V₄ = {A₅,A₆}

Site₃ sends matrix M₃ and vector V₃ to Site₄. Site₄ finds scalar dot product M_{3,4} by using the above two matrices M₃ and M₄.

$$M_{3,4} = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

Based on the matrix M_{3,4}, frequent item sets and its support are computed.

{<A₁,A₅>→2, <A₁,A₆>→2, <A₂,A₆>→2, <A₃,A₆>→2, <A₄,A₆>→3, <A₁,A₃,A₆>→2}The matrix M'₄ is formed by Site₄ by augmenting M₄, and the computed M_{3,4} to the received matrix M₃ from Site₃.

$$M'_4 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

The computed M'₄ is sent to Site₁. After receiving the matrix from Site₄, Site₁ declares GFI based on MinSupport threshold value and are shown in the following TABLE VI.

TABLE VI
GFI and Supports at Site₁ (DB₁)

| S No. | Item set | Sup | S. No | Item set | Sup | S.No | Item set | Sup |
|-------|-----------------------------------|-----|-------|---|-----|------|---|-----|
| 1 | A ₁ | 3 | 7 | <A ₂ ,A ₃ > | 2 | 13 | <A ₁ ,A ₆ > | 2 |
| 2 | A ₂ | 3 | 8 | <A ₂ ,A ₄ > | 2 | 14 | <A ₂ ,A ₆ > | 2 |
| 3 | A ₃ | 3 | 9 | <A ₁ ,A ₂ ,A ₃ > | 2 | 15 | <A ₃ ,A ₆ > | 2 |
| 4 | A ₄ | 3 | 10 | A ₅ | 2 | 16 | <A ₄ ,A ₆ > | 3 |
| 5 | <A ₁ ,A ₂ > | 2 | 11 | A ₆ | 4 | 17 | <A ₁ ,A ₃ ,A ₆ > | 2 |
| 6 | <A ₁ ,A ₃ > | 3 | 12 | <A ₁ ,A ₅ > | 2 | 18 | <A ₂ ,A ₄ ,A ₆ > | 2 |

Now the next horizontally partitioned database DB₂ at Site₂ is considered to find GFI for its vertically partitioned databases DB₅ and DB₆. The methodology proposed in [2] for finding privacy preserving association rule mining for vertically partitioned databases is applied for these databases DB₅ and DB₆ where DM is at Site₂. The Database DB₂ and its vertically partitioned databases DB₅ and DB₆ and are at Site₂, Site₅ and Site₆ respectively. The database DB₅ has 5 transactions and 3 attributes such as {A₁, A₂, A₄}. The database DB₆ has 5 transactions and 3 attributes such as {A₃, A₅, A₆} and these databases are shown below:

TABLE VII
Database DB₅

| TID\Item | A ₁ | A ₂ | A ₄ |
|----------------|----------------|----------------|----------------|
| T ₁ | 0 | 1 | 0 |
| T ₂ | 0 | 1 | 1 |
| T ₃ | 1 | 0 | 1 |
| T ₄ | 1 | 1 | 0 |
| T ₅ | 0 | 1 | 1 |

TABLE VIII
Database DB₆

| TID\Item | A ₃ | A ₅ | A ₆ |
|----------------|----------------|----------------|----------------|
| T ₁ | 1 | 1 | 0 |
| T ₂ | 0 | 0 | 1 |
| T ₃ | 1 | 0 | 1 |
| T ₄ | 1 | 1 | 0 |
| T ₅ | 0 | 1 | 0 |

Site₅ and Site₆ finds frequent item sets for their databases DB₅ and DB₆ based on user specified minimum support threshold value by using apriori algorithm. The determined frequent item sets at two sites are shown below:

At Site₅, for database DB₅:

The set of frequent item sets and its support is {A₁→2, A₂→4, A₄→3, <A₂, A₄>→2}

At Site₆, for database DB₆:

The set of frequent item sets and its support is {A₃→3, A₅→3, A₆→2, <A₃, A₅>→2}

Site₅ prepares a matrix M₅ consisting of supporting transactions for frequent item sets and vector V₅ possessing frequent item sets. Similarly Site₆ prepares a matrix M₆ to represent supporting transactions for each frequent item sets and also constructs vector V₆ to store all frequent item sets. The matrices M₅, M₆, and vectors V₅, V₆ are shown below:

$$M_5 = \begin{bmatrix} 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix} \quad V_5 = \{A_1, A_2, A_4, \langle A_2, A_4 \rangle\}$$

$$M_6 = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \end{bmatrix} \quad V_6 = \{A_3, A_5, A_6, \langle A_3, A_5 \rangle\}$$

Site₅ sends M₅ and V₅ to Site₆.

Site₆ finds scalar dot product M_{5,6} using the two matrices M₅ and M₆.

$$M'_{5,6} = \begin{bmatrix} 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 & 0 \end{bmatrix} \quad V_{5,6} = \{\langle A_1, A_3 \rangle, \langle A_2, A_3 \rangle, \langle A_2, A_5 \rangle, \langle A_2, A_3, A_5 \rangle\}$$

From matrix M_{5,6}, based on MinSupport threshold value, frequent item sets and its support are computed.

$$\{\langle A_1, A_3 \rangle \rightarrow 2, \langle A_2, A_3 \rangle \rightarrow 2, \langle A_2, A_5 \rangle \rightarrow 3, \langle A_2, A_3, A_5 \rangle \rightarrow 2\}$$

The matrix M'₆ is formed by Site₆ by augmenting M₅, and the computed M_{5,6} to the received matrix M₅ from Site₅.

$$M'_6 = \begin{bmatrix} 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 & 0 \end{bmatrix}$$

The computed M'₆ is sent to the Site₂. After receiving the matrix M'₆ from Site₆, Site₂ declares GFI based on MinSupport threshold value and is shown in the following TABLE VIII.

TABLE IX
GFI and Supports at Site₂

| S. No | Item set | Sup | S. No | Item set | Sup | S. No | Item set | Sup |
|-------|-----------------------------------|-----|-------|-----------------------------------|-----|-------|---|-----|
| 1 | A ₁ | 2 | 5 | A ₃ | 3 | 9 | <A ₁ ,A ₃ > | 2 |
| 2 | A ₂ | 4 | 6 | A ₅ | 3 | 10 | <A ₂ ,A ₅ > | 2 |
| 3 | A ₄ | 3 | 7 | A ₆ | 2 | 11 | <A ₂ ,A ₆ > | 3 |
| 4 | <A ₂ ,A ₄ > | 2 | 8 | <A ₃ ,A ₅ > | 2 | 12 | <A ₁ ,A ₃ ,A ₅ > | 2 |

After computing GFI for its vertically partitioned databases, Site₁ stores these item sets in a set denoted as FI₁. Similarly Site₂ also prepares a set FI₂ which consists of GFI of its vertically partitioned databases. Each site applies the procedure specified in [1] which uses a sign based secure sum cryptography technique to preserve the individual's privacy in the process of finding GFI for the database DB₁ and DB₂.

$$FI_1 = \{A_1, A_2, A_4, \langle A_2, A_4 \rangle, A_3, A_5, A_6, \langle A_3, A_5 \rangle, \langle A_1, A_3 \rangle, \langle A_2, A_3 \rangle, \langle A_2, A_5 \rangle, \langle A_2, A_3, A_5 \rangle\}$$

$$FI_2 = \{A_1, A_2, A_3, A_4, \langle A_1, A_2 \rangle, \langle A_1, A_3 \rangle, \langle A_2, A_3 \rangle, \langle A_2, A_4 \rangle, \langle A_1, A_2, A_3 \rangle, \langle A_5, A_6 \rangle, \langle A_1, A_5 \rangle, \langle A_1, A_6 \rangle, \langle A_2, A_6 \rangle, \langle A_3, A_6 \rangle, \langle A_4, A_6 \rangle, \langle A_1, A_3, A_6 \rangle, \langle A_2, A_4, A_6 \rangle\}$$

Site₁ and Site₂ sends FI₁ & FI₂ to TP in order to find GFI. Trusted authority prepares a merged list and stores in set MList.

$$MList = \{A_1, A_2, A_3, A_4, A_5, A_6, \langle A_1, A_2 \rangle, \langle A_1, A_3 \rangle, \langle A_1, A_5 \rangle, \langle A_1, A_6 \rangle, \langle A_2, A_3 \rangle, \langle A_2, A_4 \rangle, \langle A_2, A_5 \rangle, \langle A_2, A_6 \rangle, \langle A_3, A_5 \rangle, \langle A_3, A_6 \rangle, \langle A_4, A_6 \rangle, \langle A_1, A_2, A_3 \rangle, \langle A_1, A_3, A_6 \rangle, \langle A_2, A_4, A_6 \rangle, \langle A_2, A_3, A_5 \rangle\}$$

After preparing the merged list, Site₀ (Trusted Party) broadcast MList to Site₁ and Site₂ to initiate to do further processes. Using Sign based secure sum, Site₁ and Site₂ provides support in disguised form for each item set in the merged list MList. Site₀ also sends unique random number and unique signs to Site 1 and Site2 respectively along with MList.

The following are the random numbers and signs sent by Site₀ (Trusted Party) to Site₁ and Site₂.

Site₁ has RN₁ = 26, Sign₁ = ('-') and Site₂ has RN₂ = 370 Sign₂ = ('-').

TP computes SignSumRN by adding random numbers with signs as

$$SignSumRN = (-) 26 + (-) 370 = - 396$$

Computations for some of the item sets in merged list are illustrated below:

Consider the three item sets from MList which are {A₁, <A₂, A₅>, <A₂, A₄, A₆>}

Let us assume user specified minimum support threshold value as 40%.

The computations of partial supports and total supports for the above three item sets at Site₁ are shown below:

At Site₁:

For Item Set <A₁>:

$$Partial\ support = A_1 \cdot sup - 40\% |DB_1| + (Sign_1) \cdot RN_1 = 2 - 2 + (-) \cdot 26 = -26$$

For Item Set <A₂, A₅>:

Site₁ do not know possess <A₂, A₅> since this item set is infrequent from its partitioned sites. But there is a chance to become global frequent when local supports are taken into consideration even if it is infrequent at one site. So Site₁ itself finds support of <A₂, A₅> by doing scalar product between <A₂> and <A₅>.

$$\langle A_2 \rangle = \langle 1, 0, 0, 1, 1 \rangle \text{ and } \langle A_5 \rangle = \langle 1, 1, 0, 0, 0 \rangle$$

$$\langle A_2, A_5 \rangle = \langle 1, 0, 0, 0, 0 \rangle$$

Site₁ computes partial support value for <A₂, A₅> in order to compute global support.

$$Partial\ support = \langle A_2, A_5 \rangle \cdot sup - 40\% |DB_1| + (Sign_1) \cdot RN_1 = 1 - 2 + (-) \cdot 26 = -27$$

For Item set <A₂, A₄, A₆>:

$$Partial\ support = \langle A_2, A_4, A_6 \rangle \cdot sup - 40\% |DB_1| + (Sign_1) \cdot RN_1 = 2 - 2 + (-) \cdot 26 = -26$$

The computations of partial supports for the above three item sets at Site₂ are shown below:

At Site₂:

For Item set <A₁>:

$$Partial\ support = A_1 \cdot sup - 40\% |DB_2| + (Sign_2) \cdot RN_2 = 3 - 2 + (-) \cdot 370 = -369$$

For Item set <A₂, A₅>:

$$Partial\ support = \langle A_2, A_5 \rangle \cdot sup - 40\% |DB_2| + (Sign_2) \cdot RN_2 = 3 - 2 + (-) \cdot 370 = -369$$

For Item set <A₂, A₄, A₆>:

Partial support = <A₂, A₄, A₆> · sup - 40% |DB₂| + (Sign₂) · RN₂ But Site₂ do not know possess the support value of <A₂, A₄, A₆> since this item set is infrequent in its partitioned sites. But there is a chance to become global frequent item set when local supports are taken into consideration. So Site₂ itself finds support of <A₂, A₄, A₆> by doing scalar dot product between <A₆> and <A₂, A₄>.

$$\langle A_6 \rangle = \langle 0, 1, 1, 0, 0 \rangle \text{ and } \langle A_2, A_4 \rangle = \langle 0, 1, 0, 0, 1 \rangle$$

$$\therefore \{ \langle A_6 \rangle \cdot \langle A_2, A_4 \rangle \} = \langle 0, 1, 0, 0, 0 \rangle$$

So the item set <A₂, A₄, A₆> support value is found and it is 1 which can be substituted in the formula for finding partial support value.

$$Partial\ support = \langle A_2, A_4, A_6 \rangle \cdot sup - 40\% |DB_2| + (Sign_2) \cdot RN_2 = 1 - 2 + (-) \cdot 370 = -371$$

Site₁ and Site₂ find the total support by exchanging its computed partial support values. The total support computations for the considered three item sets in the MList are as follows:

Site₁ and Site₂ finds Total support of an item set by adding it's computed partial support of an item sets with its received partial support of the same item set.

At Site₁ & At Site₂:

For Item set <A₁>:

$$Total\ support = Partial\ support\ of\ \langle A_1 \rangle\ at\ Site_1 + Partial\ support\ of\ \langle A_1 \rangle\ at\ Site_2 = -26 - 369 = -395$$

For Item set <A₂, A₅>:

$$Total\ support = Partial\ support\ of\ \langle A_2, A_5 \rangle\ at\ Site_1 + Partial\ support\ of\ \langle A_2, A_5 \rangle\ at\ Site_2 = -27 - 369 = -396$$

For Item set <A₂, A₄, A₆>:

$$Total\ support = Partial\ support\ of\ \langle A_2, A_4, A_6 \rangle\ at\ Site_1 + Partial\ support\ of\ \langle A_2, A_4, A_6 \rangle\ at\ Site_2 = -26 - 371 = -397$$

Site₁ and Site₂ sends it's computed total support values to TP in order to find GFI.

At Site₀ (Trusted Party):

After receiving total partial support values from Site₁ and Site₂ the trusted party computes Global excess support and then computes actual support values for these item sets to determine GFI.

For Item set <A₁>:

$$Global\ excess\ support = Total\ support\ of\ \langle A_1 \rangle - (SignSumRN) = -395 - (-396) = 1$$

Actual support = Global excess support of $\langle A_1 \rangle + 40\%$ of $|DB| = 1+4=5$

Hence the item set A_1 is globally frequent as its global support is 5 which is greater than 4

For Item set $\langle A_2, A_5 \rangle$:

Global excess support = Total Support of $\langle A_2, A_5 \rangle - (\text{SignSumRN}) = -396 - (-396) = 0$

Actual support = Global excess support of $\langle A_2, A_5 \rangle + 40\%$ of $|DB| = 0+4=4$

Hence the item set $\langle A_2, A_5 \rangle$ is globally frequent as its global support is 4 even though this item set infrequent at Site₁.

For Item set $\langle A_2, A_4, A_6 \rangle$:

Global excess support = Total support of $\langle A_2, A_4, A_6 \rangle - (\text{SignSumRN}) = -397 - (-396) = -1$

Actual support = Global excess support of $\langle A_2, A_4, A_6 \rangle + 40\%$ of $|DB| = -1 + 4 = 3$

Hence the item set $\langle A_2, A_4, A_6 \rangle$ is globally infrequent as its global support is 3 which is less than 4.

The above steps will be repeated for the remaining item sets in merged list MList to find its global support. From the computed global support values, TP decides which are globally frequent and which globally infrequent item sets are. The eleven GFI and their support values are specified as $\{A_1 \rightarrow 5, A_2 \rightarrow 7, A_3 \rightarrow 6, A_4 \rightarrow 6, A_5 \rightarrow 5, A_6 \rightarrow 6, \langle A_1, A_3 \rangle \rightarrow 5, \langle A_2, A_3 \rangle \rightarrow 4, \langle A_2, A_4 \rangle \rightarrow 4, \langle A_2, A_5 \rangle \rightarrow 4, \langle A_4, A_6 \rangle \rightarrow 5\}$

By this way no site can predict any information about local support values of any site since no site can predict random numbers or signs assigned to other sites by TP. Even TP cannot predict individual site's information based on the received total support values which are in disguised form.

Site₁ sends the GFI with support values to its partitioned database sites Site₃ and Site₄. Similarly Site₂ sends the same results to its partitioned database sites Site₅ and Site₆. So any site can generate global association rules based on the received GFI along with their support values and user specified minimum confidence threshold value.

Case II:

In Case II, second mixed model (Model-2) is considered. The database is initially vertically partitioned into two databases DB₁ and DB₂ and which are further horizontally partitioned into DB₃ & DB₄, DB₅ & DB₆ respectively.

Consider the sample database given in the TABLE I which has 10 transactions and 5 attributes.

The hierarchy of this model can be treated as a three level hierarchy model that is at level zero (Level₀) DM exist and at level one (Level₁) two vertically partitioned sites exist and at level two (Level₂), two horizontally partitioned databases exist. The DM is at Site₀, Vertically partitioned databases of DB₁ and DB₂ are in Site₁, Site₂ respectively and which are at Level₁. The horizontally partitioned data bases that is DB₃, DB₄, DB₅ and DB₆ are at Site₃, Site₄, Site₅ and Site₆ respectively and which are at level₂. The DM who has special privileges to find global association rules from the databases without violating any individual's privacy constraints. The databases and its sites in the considered model are shown in the following diagram.

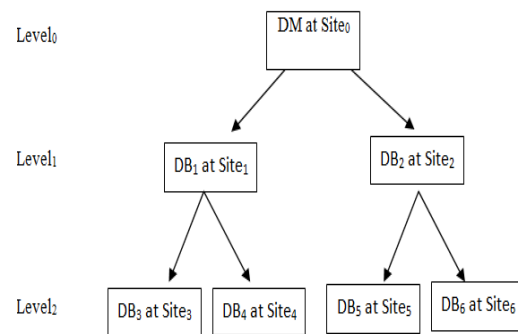


Fig. 4 A Mixed Model Consisting of Horizontal and Vertical Databases

Initially, the database DB given in TABLE I partitioned into two disjoint vertical databases DB₁ and DB₂ and are shown in TABLE X and XI The database DB₁ consisting of 10 transactions (T₁, T₂, .. T₁₀) with 3 attributes (A₁, A₂, A₄) where as DB₂ consisting of 10 transactions (T₁, T₂, .. T₁₀) with 3 attributes (A₃, A₅, A₆).

**TABLE XI
Database (DB₁)**

| TID Item | A ₁ | A ₂ | A ₄ | TID Item | A ₁ | A ₂ | A ₄ |
|----------------|----------------|----------------|----------------|-----------------|----------------|----------------|----------------|
| T ₁ | 1 | 1 | 0 | T ₆ | 0 | 1 | 0 |
| T ₂ | 0 | 0 | 1 | T ₇ | 0 | 1 | 1 |
| T ₃ | 1 | 0 | 0 | T ₈ | 1 | 0 | 1 |
| T ₄ | 0 | 1 | 1 | T ₉ | 1 | 1 | 0 |
| T ₅ | 1 | 1 | 1 | T ₁₀ | 0 | 1 | 1 |

**TABLE XII
Database (DB₂)**

| TID Item | A ₃ | A ₅ | A ₆ | TID Item | A ₃ | A ₅ | A ₆ |
|----------------|----------------|----------------|----------------|-----------------|----------------|----------------|----------------|
| T ₁ | 1 | 1 | 0 | T ₆ | 1 | 1 | 0 |
| T ₂ | 0 | 1 | 1 | T ₇ | 0 | 0 | 1 |
| T ₃ | 1 | 0 | 1 | T ₈ | 1 | 0 | 1 |
| T ₄ | 0 | 0 | 1 | T ₉ | 1 | 1 | 0 |
| T ₅ | 1 | 0 | 1 | T ₁₀ | 0 | 1 | 0 |

The Database DB₁ at Site₁ is further partitioned into two disjoint horizontally partitioned databases DB₃ and DB₄ and which are in Site₃ and Site₄ respectively. The database DB₃ has 5 transactions and 3 attributes such as {A₁, A₂, A₄}. The database DB₄ has 5 transactions and 3 attributes such as {A₄, A₅, A₆}.

Site₃ and Site₄ finds frequent item set list FI₃ & FI₄ for their databases DB₃ and DB₄ respectively based on user specified MinSupport threshold by using apriori algorithm and are as follows.

$$FI_3 = \{ A_1 \rightarrow 3, A_2 \rightarrow 3, A_4 \rightarrow 3, \langle A_1, A_2 \rangle \rightarrow 2, \langle A_2, A_4 \rangle \rightarrow 2 \}$$

$$FI_4 = \{ A_1 \rightarrow 2, A_4 \rightarrow 3 \}$$

Site₅ and Site₆ finds frequent item set list FI₄ & FI₅ for their databases DB₅ and DB₆ respectively based on user specified MinSupport threshold by using apriori algorithm and are as follows.

$$FI_5 = \{ A_3 \rightarrow 3, A_5 \rightarrow 2, A_6 \rightarrow 4, \langle A_3, A_6 \rangle \rightarrow 2 \}$$

$$FI_6 = \{ A_3 \rightarrow 3, A_5 \rightarrow 3, A_6 \rightarrow 2, \langle A_3, A_5 \rangle \rightarrow 2 \}$$

Site₁ applies the methodology specified in [1] to find the GFI for its horizontally partitioned databases DB₃ and DB₄. The same procedure is also followed by Site₂ to find GFI for its partitioned databases DB₅ and DB₆. Here the Site₁ and Site₂ acts as a TP to its partitioned databases. After completion of this process, Site₁ and Site₂ consists of GFI of its partitioned databases.

Site₁'s global frequent item set is {A₁→5, A₂→7, A₄→6, <A₂, A₄>→4}

Site₂'s global frequent item set is {A₃→6, A₅→5, A₆→6}

Now the methodology specified in [1] is applied for finding global association rules for vertically partitioned databases DB₁ and DB₂ of DB with DM at Site₀.

Site₁ and Site₂ obtain the transactions related to global frequent items sets from its partitioned databases.

Site₁ prepares a matrix M₁ & vector V₁ consisting of supporting transactions related to frequent item sets & frequent item sets respectively. Similarly Site₂ prepares a matrix M₂ & vector V₂ consisting of supporting transactions related to frequent item sets & frequent item sets respectively. Site₁ sends matrix M₁ and Vector V₁ to Site₂ to in order to find GFI. On receiving matrix M₁ and V₁ from Site₁, Site₂ computes scalar dot product over M₁ and M₂ to get M_{1.2}. The matrices M₁, M₂ & M_{1.2} and vectors V₁, V₂ & V_{1.2} are shown below:

$$M_1 = \begin{bmatrix} 1010100110 \\ 1001111011 \\ 0101101101 \end{bmatrix}$$

$$M_2 = \begin{bmatrix} 1010110110 \\ 1100010011 \\ 0111101100 \end{bmatrix} \quad M_{1.2} = \begin{bmatrix} 0001101001 \\ 1010100110 \\ 1000110010 \\ 0001101001 \\ 1000010011 \\ 0101101100 \end{bmatrix}$$

Vectors V₁= {{A₁, A₂, A₄, <A₂, A₄>} , V₂= {A₃,A₅,A₆}, V_{1.2} = <A₂,A₄>, <A₁,A₃>, <A₂,A₃>, <A₂,A₄>, <A₂,A₅>, <A₄,A₆>. Finally, matrix M'₂ is formed by augmenting M₂ and the computed matrix M_{1.2} to the received matrix M₁ from Site₁. The matrix M'₂ is shown below:

$$M'_2 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 \end{bmatrix}$$

The DM declares GFI using the values in the received matrix M'₂ based on user specified MinSupport threshold value. The eleven GFI are {A₁→5, A₂→7, A₃→6, A₄→6, A₅→5, A₆→6, <A₁, A₃> → 5, <A₂, A₃> →4, <A₂, A₄> → 4, <A₂, A₅> → 4, <A₄, A₆> → 5}

V. PRIVACY PRESERVATION FOR THE PROPOSED MODELS

- The individual site's privacy is achieved even when the database is partitioned into many disjoint segments in different ways and in different levels. Other than parent site no site can predict any site's private data or information.
- For solving horizontally partitioned databases at a level, privacy is achieved by using Horiz-TP-Algorithm which incorporates Encryption, Decryption and sign based secure sum techniques. The sites which are at same levels partitioned from the parent, exchange information in disguised form between them in order to find the GFI of the data bases. So they cannot predict other site's database information.
- In case of solving vertically partitioned databases, privacy preserving association rule mining can be determined efficiently with Verti-DM-Algorithm which adopted cryptography and Scalar product techniques.
- When the site's databases are not partitioned from the same parent but are at same level. Privacy is still achieved as there is no single communication is allowed between them. In a case even different parent nodes are at same level, privacy is achieved since a node which acts as a parent of its child nodes have communication only with own parent node.
- The data transfer between sites is done as a bulk data transfer instead of single data transfer. So minimum number of communications is required to find global frequents item sets while achieving privacy at all levels for each site's database.

Hence, the proposed methods are efficient in finding privacy preserving association rule mining for mixed partitioning databases.

VI. CONCLUSIONS

Privacy is becoming a great research topic in the process of applying data mining techniques to various real applications. As the necessity makes the people to share the knowledge to the legitimate people in order to gain mutual benefits and this issue made to study privacy preserving data mining. Among many data mining techniques, privacy preserving association rule mining is a popular technique. But finding an efficient solution satisfying both privacy constraints as well as accuracy is a challenging task to researchers. Privacy constraints differ from centralized database environment to distributed database, so methodologies also differ from one environment to other. A database in distributed environment can be partitioned in different ways like horizontal, vertical or mixed mode. In this paper, two new methodologies are presented to find global association rules in distributed environment by satisfying privacy constraints for two common mixed partitioned models. Algorithms are also presented for each mixed model and implementation is discussed with suitable database. The efficiency of the proposed model is discussed in terms of privacy and communication.

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